

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT TACOMA

JOHN STEINER,

Plaintiff,

v.

G. STEVEN HAMMOND, SARA
SMITH, J. DAVID KENNEY,
WASHINGTON DEPARTMENT OF
CORRECTIONS,

Defendants.

No. C13-5120 RBL/KLS

REPORT AND RECOMMENDATION

Noted for: May 24, 2013

On February 20, 2013, Plaintiff, a *pro se* prisoner¹, filed this action against the Department of Corrections (DOC) and individual DOC employees at the Stafford Creek Corrections Center (SCCC). ECF No. 1. Plaintiff alleges that he is being denied adequate medical care. *Id.*

Defendants move for dismissal of Plaintiff's complaint pursuant to Fed. R. Civ.P. 12(c) based on (1) Plaintiff's failure to allege facts to show that any of the individually named defendants personally participated in any alleged violation of Plaintiff's constitutional rights, and (2) Eleventh Amendment immunity as to the Department of Corrections (DOC). ECF No. 14. Plaintiff filed both a response (ECF No. 20) and a motion to amend his complaint "in order to

¹ Plaintiff states that although he paid the filing fee in this matter, this Court granted him leave to proceed *in forma pauperis*. ECF No. 20 at 2. The Court's Order Directing Service incorrectly refers to Plaintiff having been granted *in forma pauperis* status. ECF No. 3. However, there is no order granting such status and none has been granted to Plaintiff.

1 clarify and ascribe individual conduct as it relates to each named Defendant which Plaintiff
2 alleges violated his Eighth and Fourteenth Amendment rights.” ECF No. 19. Plaintiff does not
3 seek to add additional defendants, but removes the DOC as a named defendant. *Id.* at 2.

4 Having carefully considered the motion and balance of the record, the Court recommends
5 that Plaintiff’s motion to amend (ECF No. 19) be granted and Defendants’ motion to dismiss
6 (ECF No. 14) be denied without prejudice.

7 **DISCUSSION**

9 Leave to amend should be freely given “when justice so requires.” Fed. R. Civ. P.
10 15(a)(2). “The denial of a motion for leave to amend pursuant to Rule 15(a) is reviewed ‘for
11 abuse of discretion and in light of the strong public policy permitting amendment.’” *Bonin v.*
12 *Calderon*, 59 F.3d at 845, *quoting Outdoor Systems, Inc. v. City of Mesa*, 997 F.2d 604, 614 (9th
13 Cir. 1993). A district court may take into consideration such factors as “bad faith, undue delay,
14 prejudice to the opposing party, futility of the amendment, and whether the party has previously
15 amended his pleadings.” *See In re Morris*, 363 F.3d 891, 894 (9th Cir. 2004), *quoting Bonin*, 59
16 F.3d at 845.

18 **DISCUSSION**

19 To state a claim under 42 U.S.C. § 1983, at least two elements must be met: (1) the
20 defendant must be a person acting under color of state law; and (2) his conduct must have
21 deprived the plaintiff of rights, privileges, or immunities secured by the Constitution or laws of
22 the United States. *Parratt v. Taylor*, 451 U.S. 527, 535 (1981), *overruled in part on other*
23 *grounds, Daniels v. Williams*, 474 U.S. 327, 330-31 (1986). Implicit in the second element is a
24 third element of causation. *See Mt. Healthy City School Dist. v. Doyle*, 429 U.S. 274, 286-87
25 (1977); *Flores v. Pierce*, 617 F.2d 1386, 1390-91 (9th Cir. 1980), *cert. denied*, 449 U.S. 875
26

1 (1980). When a plaintiff fails to allege or establish one of the three elements, his complaint must
2 be dismissed. The Civil Rights Act, 42 U.S.C. § 1983, is not merely a “font of tort law.”
3 *Parratt*, 451 U.S. at 532. The plaintiff may have suffered harm, even due to another’s negligent
4 conduct, but that does not in itself necessarily demonstrate an abridgement of Constitutional
5 protections. *Davidson v. Cannon*, 474 U.S. 344 (1986).

6
7 Plaintiff alleges violation of his Eighth Amendment rights, as incorporated by the
8 Fourteenth Amendment. When a prisoner plaintiff is claiming an Eighth Amendment violation
9 as a result of a medical condition, the plaintiff must show deliberate indifference to a serious
10 medical need. *Estelle v. Gamble*, 429 U.S. 97, 103 (1976). An official is deliberately indifferent
11 to a serious medical need if the official “knows of and disregards an excessive risk to inmate
12 health or safety.” *Farmer v. Brennan*, 511 U.S. 825, 837 (1994). Deliberate indifference
13 requires more culpability than ordinary lack of due care for a prisoner’s health. *Id.* at 835. A
14 court’s inquiry must focus on what the prison official actually perceived, not what the official
15 should have known. *See Wallis v. Baldwin*, 70 F.3d 1074, 1077 (9th Cir. 1995).

16
17 The Eighth Amendment standard requires proof of both the objective and subjective
18 component. *Hudson v. McMillian*, 503 U.S. 1, 112 S. Ct. 995 (1992). First, the deprivation
19 alleged must objectively be sufficiently serious, resulting in a denial of the “minimal civilized
20 measures of life’s necessities.” *Farmer*, 511 U.S. at 834 (quoting *Rhodes v. Chapman*, 452 U.S.
21 337, 347, 101 S. Ct. 2392 (1981)). In proving this objective component, an inmate must
22 establish that there was both some degree of actual or potential injury, and that society considers
23 the acts that the plaintiff complains of to be so grave that it violates contemporary standards of
24 decency to expose anyone unwillingly to those acts. *Helling v. McKinney*, 509 U.S. 25, 36, 113
25 S. Ct. 2475 (1993); *see also Estelle v. Gamble*, 429 U.S. 97, 102, 97 S. Ct. 285 (1976).
26

1 Second, the subjective component requires that the prison official possesses a sufficiently
2 culpable state of mind: “deliberate indifference to inmate health and safety.” *Farmer*, 511 U.S.
3 at 834-36. With regard to deliberate indifference, a prison official is not liable “unless the
4 official knows of and disregards an excessive risk to inmate health or safety; the official must
5 both be aware of facts from which the inference could be drawn that a substantial risk of serious
6 harm exists, and he must also draw the inference.” *Id.* at 837. The subjective component
7 requires proof that the official was (1) aware of the facts that would lead a reasonable person to
8 infer the substantial risk of serious harm; (2) actually made the inference that the substantial risk
9 of serious harm to the plaintiff existed; and (3) knowingly disregarded the risk. *Id.* If either of
10 these components is not established, the court need not inquire as to the existence of the other.
11 *Helling*, 509 U.S. at 35.

12
13 Defendants argue that Plaintiff’s Eighth Amendment claim must be dismissed because he
14 has failed to allege any parts of the necessary subjective component for any of the individual
15 Defendants. Instead, Plaintiff attributes the denial of his care to Defendant DOC alone and the
16 DOC cannot be sued under § 1983.

17
18 Section 1983 creates a cause of action for a plaintiff whose constitutional rights have
19 been violated by any “person” acting under color of law. 42 U.S.C. § 1983. However, for
20 purposes of § 1983, a state is not a “person.” *See Arizonans for Official English v. Arizona*, 520
21 U.S. 43, 69 (1997); *Will v. Mich. Dep’t of State Police*, 491 U.S. 58, 71 (1989). Similarly, an
22 agency that is an arm of the state is also not a “person” under § 1983. *See Howlett v. Rose*, 496
23 U.S. 356, 365 (1990); *also Alabama v. Pugh*, 438 U.S. 781, 782 (1978) (per curiam) (concluding
24 that the suit against the state Board of Corrections was barred by the Eleventh Amendment).
25
26

1 Thus, to the extent Plaintiff is making any claims against the DOC under 42 U.S.C. § 1983, those
2 claims must be dismissed.

3 In his proposed amended complaint, Plaintiff seeks to remove DOC as a named defendant
4 and “ascribe conduct which further details” the alleged conduct of Defendants Hammonds,
5 Smith, and Kenney. ECF No. 19 and 19-1.

6 Based on the foregoing, the undersigned recommends that Plaintiff’s motion to amend
7 (ECF No. 19) be granted and that Defendants’ motion to dismiss (ECF No. 14) therefore be
8 denied without prejudice.
9

10 Pursuant to 28 U.S.C. § 636(b)(1) and Rule 72(b) of the Federal Rules of Civil
11 Procedure, the parties shall have fourteen (14) days from service of this Report and
12 Recommendation to file written objections. See also Fed. R. Civ. P. 6. Failure to file objections
13 will result in a waiver of those objections for purposes of appeal. *Thomas v. Arn*, 474 U.S. 140
14 (1985). Accommodating the time limit imposed by Rule 72(b), the Clerk is directed to set the
15 matter for consideration on **May 24, 2013**, as noted in the caption.
16

17
18 **DATED** this 8th day of May, 2013.

19
20 
21 Karen L. Strombom
22 United States Magistrate Judge
23
24
25
26